

## UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket 09710-1096

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Client Docket COS-01-010

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Paul Thomas SCHULTZ, entitled SYSTEM AND METHOD FOR VOICE USER INTERFACE NAVIGATION, for a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification; 21 Total Pages.

(X) Drawing(s); 6 Total Sheets.

(X) Oath or Declaration:

(X) A Newly Executed Declaration and Power of Attorney:

(X) Signed. ( ) Unsigned. ( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney is (X) enclosed; ( ) is in the prior application to: \_\_\_\_\_

(X) Assignment is (X) enclosed; ( ) is in the prior application to: \_\_\_\_\_

( ) Preliminary Amendment.

( ) Information Disclosure Statement and Form PTO-1449.

(X) Return Receipt Postcard.

(X) Credit Card Payment of \$834.00 (Form PTO-2038).

( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed).

( ) Small Entity Status is Asserted.

( ) Prior to calculation of fee, please cancel the following claims: \_\_\_\_\_

( ) Other: \_\_\_\_\_

## CLAIMS AS FILED

FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	23	3	\$18.00	\$ 54.00
Independent Claims	3	0	\$84.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$740.00
Total Filing Fee				\$ 834.00

( ) Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

By: \_\_\_\_\_

Typed Name: Phouphanomketh Dithavong

Express Mail Label No.:

Date of Deposit:

Respectfully submitted,

By: \_\_\_\_\_

Phouphanomketh Dithavong  
Reg. No.44658

Date: February 19, 2002

Correspondence Address:

WORLDCOM, Inc.  
Technology Law Department  
1133 19<sup>TH</sup> ST, NW  
WASHINGTON, DC 20036  
(202) 736-6604

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**FEE TRANSMITTAL**  
**for FY 2000**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	Not yet assigned
Filing Date	February 19, 2002
First Named Inventor	Schultz
Examiner Name	
Group/Art Unit	
Attorney Docket No.	09710-1096

TOTAL AMOUNT OF PAYMENT (\$ ) 834.00

**METHOD OF PAYMENT (check one)**1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit Account Number Deposit Account Name ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.172. ☒ Payment Enclosed:☐ Check ☒ Credit Card ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 740.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
23	-20**= 3	18	= 54.00
3	-3**= 0	84	= 0.00
			= 54.00

Multiple Dependent

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 54.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 40.00

**SUBMITTED BY**

Name (Print/Type) Phouphanomketh Ditthavong

Registration No. (Attorney/Agent) 44658

**Complete (if applicable)**

Telephone (703) 425-8508

Signature

Date

February 19, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Paul Thomas SCHULTZ

Serial No.: Not yet assigned

Group Art Unit:

Filed: February 19, 2002

Examiner:

Attorney Docket No.: 09710-1096

Client Docket No.: COS-01-010

For: System and Method for Voice User Interface Navigation

Assistant Commissioner for Patents  
Washington, D.C. 20231

**DRAWING TRANSMITTAL LETTER**

Sir:

Enclosed herewith please find:

- sheets of redlined drawings, which indicate proposed changes to the drawings. Upon approval of these proposed changes, formal drawings will be submitted.
- sheets of corrected formal drawings, as required by the Notice of Patent Drawings Objection (PTO-948).
- 6 sheets of formal drawings. Please substitute these formal drawings for the informal drawings originally filed.

Examiner's approval of the entry of these drawings is respectfully requested. No new matter has been added.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

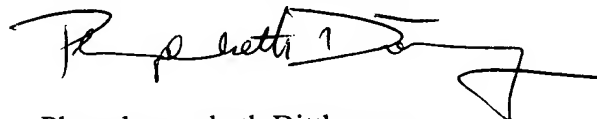
Date of Deposit:

Typed Name:

Signature: \_\_\_\_\_

Respectfully Submitted,

DITTHAVONG & CARLSON, P.C.



Phouphanomketh Ditthavong  
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